

Public Report with Exempt Appendices
Audit Committee

Committee Name and Date of Committee Meeting

Audit Committee – 28 November 2023

Report Title

Regeneration and Environment Directorate Risk Register

Is this a Key Decision and has it been included on the Forward Plan?

No

Strategic Director Approving Submission of the Report

Paul Woodcock Strategic Director of Regeneration and Environment

Report Author(s)

Liz Kemp, R&E Business Co-ordinator
01709 823803 liz.kemp@rotherham.gov.uk

Ward(s) Affected

All

Report Summary

The Regeneration and Environment Directorate risk registers for the Directorate and services have been written in line with the refreshed Council requirements. This report provides an update to the Audit Committee in relation to the current position of the Regeneration and Environment Directorate Risk Register and risk management activity.

Recommendations

The Audit Committee is asked to note the progress and current position in relation to risk management activity in Regeneration and Environment.

List of Appendices Included

Appendix 1 Regeneration & Environment Directorate Risk Register

Background Papers

Regeneration and Environment Risk Register

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Council Approval Required

No

Exempt from the Press and Public

Yes or No? If yes, use text below.

An exemption is sought for (Appendix 1) under Paragraph 3 Information relating to the financial or business affairs of any particular person (including the authority holding that information)) of the Local Government Act 1972 is requested, as this report contains information that refers to the affairs of third parties.

It is considered that the public interest in maintaining the exemption would outweigh the public interest in disclosing the information because failure to do so may result in disclosure of information about the financial or business affairs of Council suppliers and partners.

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1. Background

- 1.1 The Regeneration and Environment Directorate level risk register currently has 14 risks listed (**Appendix 1**).

Two Directorate risks are also on the Strategic Risk Register. These are as follows:

1.2 **R&E 20 & SLT10**

Achieving Economic Growth

1.3 **R&E38 & SLT37**

Management and Delivery of Projects, Schemes and Initiatives through Numerous Sources of External Funding

2. Key Issues

- 2.1 There are three Departments within the Regeneration and Environment Directorate:

- Community Safety and Street Scene
- Culture, Sport and Tourism
- Planning, Regeneration & Transport

Each Department has established risk registers capturing their service level risks identified by the respective Assistant Director and their Heads of Service (M3 Managers).

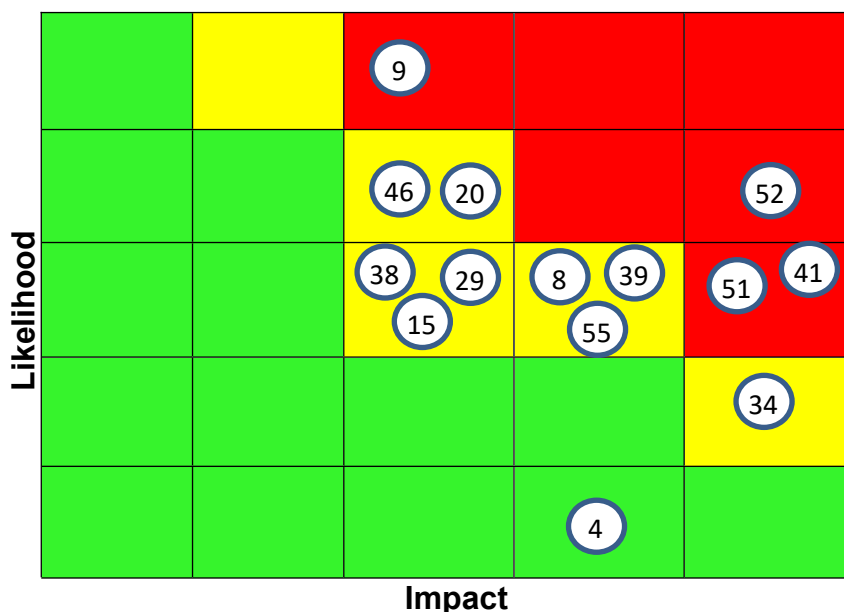
- 2.2 A regular scheduled programme of reviewing and updating Service area and Directorate level risks registers has been implemented across Regeneration and Environment. Risks are regularly discussed and reviewed at Senior Management Team (SMT) and Directorate Leadership Team (DLT) meetings and where necessary, risks are escalated to the next strategic level for inclusion on the risk register.
- 2.3 As part of the programme to embed Risk Management into the culture of the Council, managers from Regeneration and Environment have attended the mandatory “Risk Management Training for Managers” workshops. In addition the Regeneration and Environment Risk Champion has attended individual service area Senior Management Teams to provide an overview to support and advise managers in relation to risk register develop and maintenance.
- 2.4 Risk items which have been added or amended since the last report to Audit Committee in November 2022 include:

| Risk No | Business objective | Risk Detail | Change since last report |
|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| R&E13 | To maintain a safe and Operational Property Estate through backlog maintenance funding & stock condition surveys | Health, safety and operational risks from Council property. Inadequate capital resources to deal with issues arising. Inability to ensure that the agreed 20% per annum update of the stock condition programme is delivered annually in accordance with agreed policy and procedure | <i>Removed - Asset Management moved to Finance & Customer Services on a temporary basis for next 6 months</i> |
| RE15 | The Council has a duty to make arrangements for the waste they have collected to be disposed of without harming the Environment. They must ensure that the people who are disposing of their waste also do this without harming the environment. | All risks associated with the PFI Waste Treatment Facility at Bolton Road, Manvers | <i>Risk reworded and summarised.</i> |
| R&E 25 | To ensure that the Corporate Landlord has an effective fire strategy | In the light of Covid 19 and the introduction of staff hybrid working risk of not being able to provide sufficient fire wardens across the Corporate Landlord Estate to ensure full evacuation of premises in the event of a fire. Risk to life and limb, and potential loss of property. | <i>Removed - Asset Management moved to Finance & Customer Services on a temporary basis for next 6 months</i> |
| R&E 26 | To ensure that buildings' security is maintained across the estate and ensure that measures are in place. | Potential risk to customers, staff, information and property by intruders accessing the council's buildings. | <i>Removed - Asset Management moved to Finance & Customer Services on a temporary basis for next 6 months</i> |
| R&E42 | RMBC purchase energy through the flexible procurement framework in advance, and then offer a fixed price for a 12-month period. The load is procured 12-18 months in advance and right up to the renewal start date of the 1st April. | The current projected price increases from April 2022 are as follows - Electricity 60%, Gas 65% and Water 5%. Unfortunately, this means that we are unable to confirm the actual unit rates until the contract load is completed and secured and therefore the projected increase could be higher, particularly due to the ongoing Russia/Ukraine conflict | <i>Removed - Asset Management moved to Finance & Customer Services on a temporary basis for next 6 months</i> |

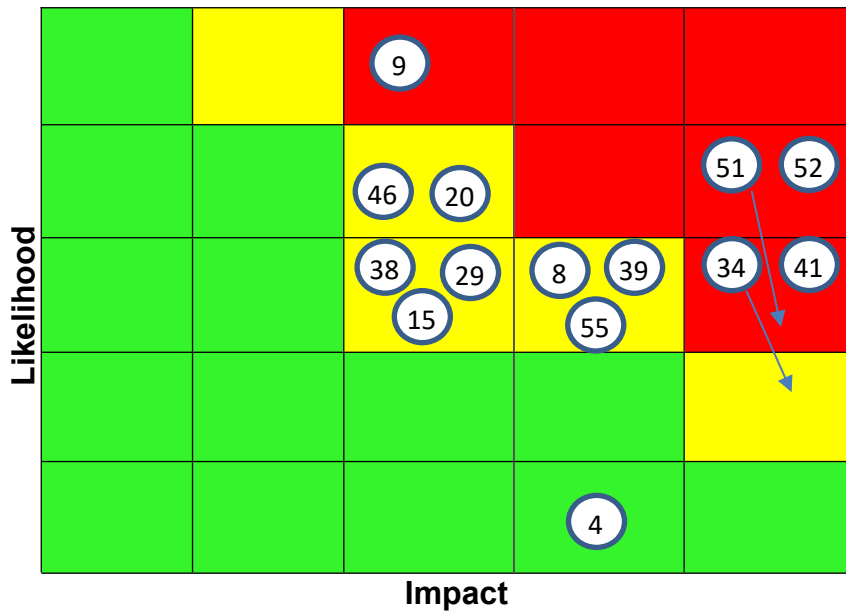
| | | | |
|--------------------|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| RE55 (was RE44) | Recruit appropriately trained and motivated workforce across the services | Failure to recruit and retain appropriately qualified and motivated staff | <i>Risk reworded to cover all 3 Assistant Director Service Areas</i> |
| RE45 | Ensuring all provision within School Catering Contracts achieved the School Food Standards (menus and provision) | Food products being unavailable to fulfil menu standards | <i>Removed – Facilities & Catering Service moved to Assistant Chief Executive's on a temporary basis</i> |
| RE48 | Recruit appropriately trained and motivated workforce across the service | Recruitment market is currently challenging with a lack of appropriately trained and/or experienced staff responding to job adverts and pay across the service falling below that of other neighbouring authorities in multiple areas making opportunities in the service less attractive and competition stronger. | <i>Removed – now sits on Culture, Sport & Tourism Service Risk Register</i> |
| RE50 | Reduction of carbon emissions for the Council and the borough | Risk that insufficient resources of the necessary expertise are available to the Council to inform the Carbon Reduction Plan. | <i>Removed - Asset Management moved to Finance & Customer Services on a temporary basis for next 6 months</i> |

2.5 The current “heat map” for the Regeneration & Environment Risk Register is shown in the table below.

Map at November 2023 Report



2.6 The table below shows the risk movement that has taken place between November 2022 and November 2023:-



3. Options considered and recommended proposal

3.1 The key risks on the Regeneration and Environment Risk Register presently are:-

R&E 20 & SLT 10 – Achieving Economic Growth

Failure to attract new business and investment to the borough and threat of failing to retain existing clients and investment. Impact on wider economy from the pandemic, increasing costs (such as energy, goods) and uncertainties around the economy result in business failures and businesses delaying or halting investment decisions or general slowdown in the economy.

R&E 38 & SLT 37 - Management and Delivery of Projects, Schemes and Initiatives through numerous sources of external funding

Delivery of the full suite of projects and schemes, on time and within budget.

R&E 9 - Provide effective Home to School Transport

Failure to deliver the Service in line with the budget.

R&E 41 - Delivery of the New Central Library & Markets to achieve Regeneration Aims in the Town Centre

Increasing project costs could result in the scheme costs exceeding the budget available for delivery.

R&E 51 - Good Management of Highway Structures

Specific risk for Centenary Way Viaduct which incurred a central reserve slab failure on Wednesday 10th August.

R&E52 - Trees Service - Effective Management of Council Owned Trees

Risk to health and safety caused by tree failure.

3.2 The above Risk Register entries are closely linked to the Regeneration and Environment Service Plans, team plans, reports and service meeting agendas across the Directorate. The Risk Registers have run alongside progress being made in relation to any restructure of services and the development and delivery of Service Plans.

3.3 Progress against key actions to mitigate the above risks is monitored through management team meetings.

4. Consultation on Proposal

4.1 Risk Registers are subject to review by the Strategic Leadership Team. A strategic Risk Champions Forum is established and Regeneration and Environment is actively represented at all meetings.

5. Timetable and Accountability for Implementing this Decision

5.1 Not applicable.

6. Financial and Procurement Advice and Implications (to be written by the relevant Head of Finance and the Head of Procurement on behalf of s151 Officer)

6.1 There are no direct financial or procurement implications arising from this report. Financial implications linked to risk mitigation are detailed in associated Service Plans and Directorate Plans and are closely scrutinised and monitored.

7. Legal Advice and Implications (to be written by Legal Officer on behalf of Assistant Director Legal Services)

7.1 There are no direct legal implications arising from the Risk Register. Any actions taken by the Council in response to risks identified will take into account any legal implications.

8. Human Resources Advice and Implications

8.1 There are no direct Human Resources implications arising from this report. However, the risks contained in the Regeneration and Environment Risk Register (Appendix 1) directly link to the Workforce Strategy and improving the Regeneration and Environment workforce.

9. Implications for Children and Young People and Vulnerable Adults

9.1 Not applicable

10. Equalities and Human Rights Advice and Implications

10.1 Not applicable

11. Implications for CO₂ Emissions and Climate Change

11.1 Not applicable.

12. Implications for Partners

12.1 Actions relating to any issues affecting partners and other directorates are reflected in the risk register and accompanying risk mitigation action plans.

13. Risks and Mitigation

13.1 The Regeneration and Environment Risk Register (Appendix 1) details the Directorate level risks and mitigations. This is further supported by individual Service Area Risk Registers.

14. Accountable Officer(s)

Paul Woodcock, Strategic Director, Regeneration and Environment
Liz Kemp, Business Co-ordinator for Regeneration and Environment

*Report Author: Liz Kemp, R&E Business Co-ordinator
01709 823803 liz.kemp@rotherham.gov.uk*

This report is published on the Council's [website](#).